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PTO/SB/05 (11-00)

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	101216-19
First Inventor	Bettina Fath et al.
Title	Composition for the Treatment of Human Hair
Express Mail Label No.	EL 867734040 US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)
2.  Applicant claims small entity status.  
See 37 CFR 1.27.
3.  Specification [Total Pages 18]
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.  Drawing(s) (35 U.S.C. 113) [Total Sheets 1]
5. Oath or Declaration [Total Pages 18]
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
    - i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6.  Application Data Sheet. See 37 CFR 1.76

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation    Divisional    Continuation-in-part (CIP)   of prior application No.: \_\_\_\_\_ / \_\_\_\_\_

Group / Art Unit \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**
 Customer Number or Bar Code Label   27387   or    Correspondence address below  
(Insert Customer No. or Attach bar code label here)

Name

Address

City

Country

State

Zip Code

Fax

Name (Print/Type) **Bruce S. Linda**Registration No. (Attorney/Agent) **33,531**

Signature

Date **October 11, 2001**

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box 1050, U.S. PTO, 600/975/20 10/11/01

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

\$740.00

## Complete if Known

Application Number	TBA
Filing Date	Concurrently Herewith
First Named Inventor	Bettina Fath et al.
Examiner Name	TBA
Group Art Unit	TBA
Attorney Docket No.	101216-19

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **14-1263**Deposit Account Name **Norris, McLaughlin & Marcus** Charge Any Additional Fee Required  
Under 37 CFR §§ 1.16 and 1.17 Applicant claims small entity status.  
See 37 CFR § 1.27

- 2.
- 
- Payment Enclosed:

 Check  Credit card  Money Order  Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Code (\$)		
101 740	201 370	Utility filing fee		740.00	
106 330	206 165	Design filing fee			
107 510	207 255	Plant filing fee			
108 740	208 370	Reissue filing fee			
114 160	214 80	Provisional filing fee			
SUBTOTAL (1)				\$740.00	

## 2. EXTRA CLAIM FEES

Extra Claims		Fee from below	Fee Paid
Total Claims	9 -20** =	0 X _____	= 0.00
Independent Claims	1 - 3** =	0 X _____	= 0.00
Multiple Dependent			

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Code (\$)		
103 18	203 9	Claims in excess of 20			
102 84	202 42	Independent claims in excess of 3			
104 280	204 140	Multiple dependent claim, if not paid			
109 84	209 42	** Reissue independent claims over original patent			
110 18	210 9	** Reissue claims in excess of 20 and over original patent			
SUBTOTAL (2)				\$0.00	

\*\*or number previously paid, if greater; For Reissues, see above

## 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
105 130	205	65 Surcharge - late filing fee or oath	
127 50	227	25 Surcharge - late provisional filing fee or cover sheet	
139 130	139	130 Non - English specification	
147 2,520	147	2,520 For filing a request for ex parte reexamination	
112 920*	112	920* Requesting publication of SIR prior to Examiner action	
113 1,840*	113	1,840* Requesting publication of SIR after Examiner action	
115 110	215	55 Extension for reply within first month	
116 400	216	200 Extension for reply within second month	
117 920	217	460 Extension for reply within third month	
118 1,440	218	720 Extension for reply within fourth month	
128 1,960	228	980 Extension for reply within fifth month	
119 320	219	160 Notice of Appeal	
120 320	220	160 Filing a brief in support of an appeal	
121 280	221	140 Request for oral hearing	
138 1,510	138	1,510 Petition to institute a public use proceeding	
140 110	240	55 Petition to revive - unavoidable	
141 1,280	241	640 Petition to revive - unintentional	
142 1,280	242	640 Utility issue fee (or reissue)	
143 460	243	230 Design issue fee	
144 620	244	310 Plant issue fee	
122 130	122	130 Petitions to the Commissioner	
123 50	123	50 Processing fee under 37 CFR § 1.17(q)	
126 180	126	180 Submission of Information Disclosure Statement	
581 40	581	40 Recording each patent assignment per property (times number of properties)	
146 740	246	370 Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249	370 For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279	370 Request for Continued Examination (RCE)	
169 900	169	900 Request for expedited examination of a design application	
Other fee (specify) _____			

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) **\_\_\_\_\_**

Complete if applicable

SUBMITTED BY			
Name (Print/Type)	Bruce S. Londa	Registration No. (Attorney/Agent)	33,531
Signature	19/5/2001		
	Date	Telephone 212-808-0700	

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**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Docket No.

101216-19

Applicant(s): Bettina Fath et al.

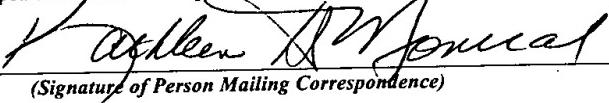
Serial No.  
TBAFiling Date  
Concurrently HerewithExaminer  
TBAGroup Art Unit  
TBA

Invention: Composition for the Treatment of Human Hair

I hereby certify that this new patent application consisting of 18 pages and preliminary amendment  
*(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under  
37 CFR 1.10 in an envelope addressed to: The Commissioner of Patents and Trademarks, Washington, D.C.

20231-0001 on Oct. 11, 2001  
*(Date)*

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*(Signature of Person Mailing Correspondence)***EL 867734040 US***("Express Mail" Mailing Label Number)*

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